The Use of Patent Information

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Note: The information contained in these slides was current as of AD 2008, where they were presented at the WIPO Summer School in Bangkok, Thailand. These slides have NOT been updated since then. Use the information at your own risk. Please report errors or omissions to lerson@lerson.org.

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DISCLOSURE IS MANDATORY

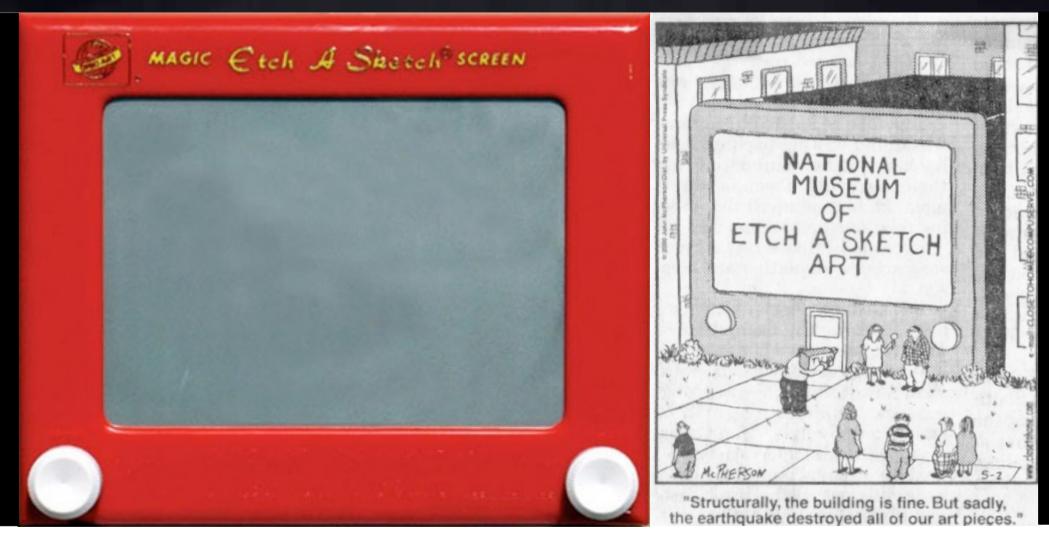


USE OF PATENT INFORMATION

Smart people and businesses can make use of patent information in many ways, e.g.

- general patent documents as an information (technology) source
- 2. patent registration information as business intelligence
- 3. pre-grant publication as a crystal ball to look into the future
- 4. patent document analysis as a strategic planning tool

1. How does it work?



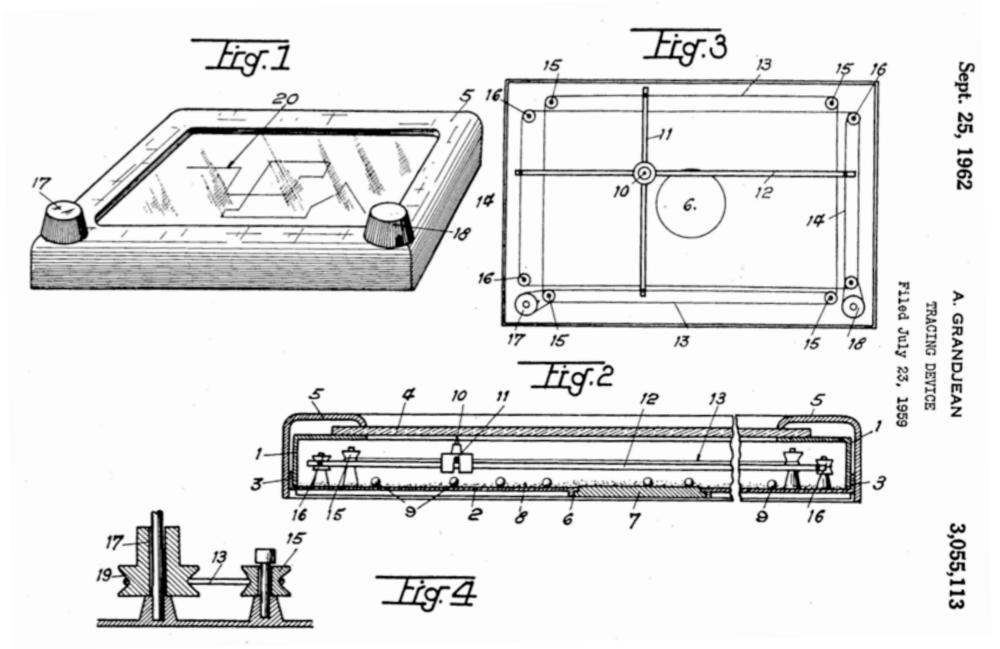
Most people are familiar with the Etch-A-Sketch tracing toy. What do you do to arrive at the mechanism?

REVERSE ENGINEERING



One way is to reverse engineer the product by obtaining a product legally and open up the unit in oder to study the mechanism.

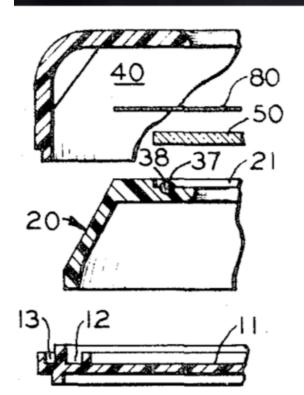
ORIGINAL GRANDJEAN PATENT



INFO GATHERED FROM PAT DOC

- When Earl Clark reverse engineered the Grandjean tracing device, the Grandjean patent would help him, for example:
 - the metallic dust is "pulverized metallic or other material adapted to adhere" to a glass surface
 - the larger particles are beads like "balls of glass" to obtain a uniform adherence between the pulverulent material and the translucent surface

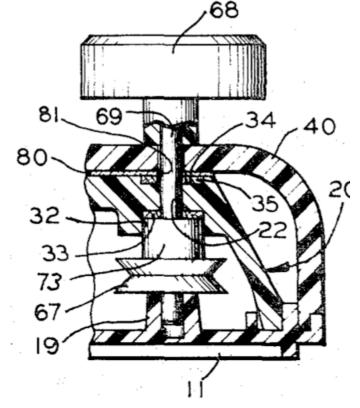
IMPROVEMENT BY CLARK



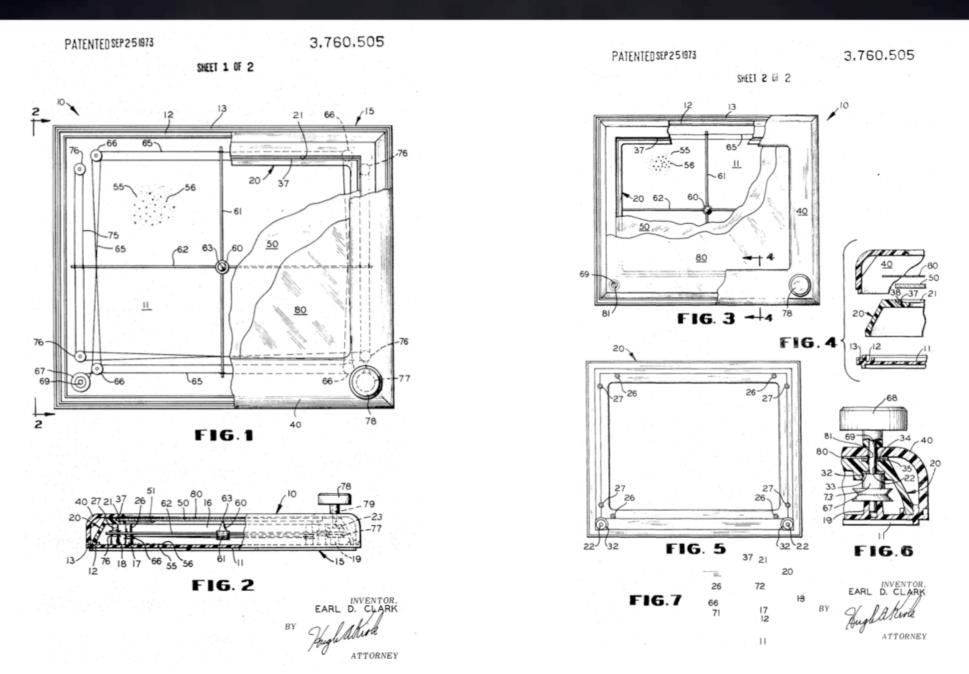
 Better sealing the top and bottom shells of the case

Covering the glass window with plastic

 Better sealing of the knob shaft



ETCH A SKETCH PATENTED BY CLARK



THIS EXAMPLE = TECH CONTENT

- An obvious use of patent information is therefore aimed at the technology contents.
- Useful when you start thinking about a problem to see if others have already found a solution.
- Added benefit: preparation for patenting your invention in the future

PATENT SEARCH SITES

- Free Databases (actually carry hidden cost of processing)
 - USPTO
 - GOOGLE
 - INPADOC
 - ESPACENET
 - JPO, etc.
- Commercial Databases (value-added services)
 - Now dominated by Thomson Group, e.g.

USPTO MAIN SEARCH PAGE



United States Patent and Trademark Office

Home Site Index Search FAQ Glossary Guides Contacts eBusiness eBiz alerts News Ho

Patent Applications

TENTS

Patent Electronic Business Center Full-Text and Full-Page Image Databases

(full-text since 197
Quick Search

Advanced Search

Status

e 1790)

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- Status & Event History
- Database Contents
- Help Files

Published Applications (AppFT)

(published since 15 March 2001)

- Quick Search
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- Publication Number Search
- View Publication Full-Page Images
 How to View Published Application Images
- Status & Event History
- Help Files

es

US Classes

Information Applicable to Both Databases

Important Notices and Policies -- Please read!
How to Access and View Full-Page Images
Problems Using the Databases?
Report Errors in Data Content

Related USPTO Services

Tools to Help in Searching by Patent Classification

Patent Application Information Retrieval (PAIR)
Patent Assignment Database
Patent Attorney/Agent Roster
Downloadable Published Sequence Listings

USPTO ADVANCED SEARCH

USPTO PATENT FULL-TEXT AND IMAGE DATABASE



Data current through May 6, 2008.

Query [Help]	
	Examples: ttl/(tennis and (racquet or racket)) isd/1/8/2002 and motorcycle in/newmar-julie
Select Years [Help] 1976 to present [full-text] \$ Search Reset	and the state of t

Patents from 1790 through 1975 are searchable only by Issue Date, Patent Number, and Current US Classification.

When searching for specific numbers in the Patent Number field, patent numbers must be seven characters in length, excluding commas, which are optional.

Field Code	Field Name	Field Code	Field Name
PN	Patent Number	IN	Inventor Name
ISD	Issue Date	IC	Inventor City

US CLASS SCHEDULE



United States Patent and Trademark Office

PATENTS

Home | Site Index | Search | FAQ | Glossary | Guides | Contacts | eBusiness | eBiz alerts | News | Help

Patents > Guidance, Tools, and Manuals > Classification > Class Schedule

Class Numbers & Titles | Class Numbers Only | USPC Index | International | HELF

You are viewing a USPC Schedule.

Class DATA PROCESSING: SPEECH SIGNAL PROCESSING, LINGUISTICS, LANGUAGE 704 TRANSLATION, AND AUDIO COMPRESSION/DECOMPRESSION

Click here for a printable version of this file

Turn Outline OFF

Select Largest Indent Level to be Displayed

Indent Level 2



- I 1 LINGUISTICS
- _ ☐ 2 · Translation machine
 - Having particular Input/Output device
 - Based on phrase, clause, or idiom

 - 6 · Punctuation
 - 7 ... Storage or retrieval of data
 - 8 Multilingual or national language support

GOOGLE PATENTS

Google carries OCRed Text and Drawings of ALL US Patents in their web site.

Google Patent Search paper clip Search Patents

About this patent

Read this patent

IMPROVEMENT IN PAPER-CLIPS Wheeler



Abstract | Drawing | Description | Claims

Patent number: 139285 Filing date: 5 Mar 1873 Issue date: May 1873 Inventor: Wheeler

Drawings



Page 1

Read this patent

Download PDF

View patent at USPTO

Search within this patent

Search

INPADOC

- International Patent Documentation Center
- Paris Convention created "patent families," corresponding patents in different countries which normally disclose the same invention and claim the same priority date.
- Founded by WIPO and the government of Austria under an agreement in 1972 as a worldwide patent database to handle the patent family issue better. The INPADOC GmbH was dissolved in 1991 when EPO took over.
- On-line access possible in the early 1980s
 Web access now
- The Trilateral (USPTO-EPO-JPO) set up a patent office information use policy in 2001 to keep value-added commercial databases alive
 - limit downloads
 - no or minimal improvement in data processing

ESPACENET

- developed by EPO
 - EP European patents published during the past 24 months
 - WIPO (PCT) PCT publication during the past 24 months
 - worldwide search over 60 million patents
 - EP and PCT older than 24 months after publication
 - other countries/region (>80)

JAPAN PATENT SEARCH

 JPO-National Center for Industrial Property Information and Training

Advanced Industrial Property Network (AIPN)

http://aipn.ipdl.inpit.go.jp/Al2/html/top.html

- Number search only
- PAJ http://www19.ipdl.inpit.go.jp/PA1/cgi-bin/PA1INIT?
 - Date, IPC, Applicant, Title, Abstract
- Patent & Utility Model Gazette

World Patent Index (WPI)

- Value-added commercial service (i.e. not cheap by developing country standard)
- patent application & grants from 41 patent issuing authorities
- editorial staff re-write the abstracts using controlled keywords so that index searches would usually get you what you want
- sophistication in handling patent families reduces missing search results (useful for both patentability and infringement

2. REGISTRATION INFORMATION

United States Patent [19]	[11] 3,760,505
Clark single	[45] Sept. 25, 1973
[75] Inventor: Earl D. Clark, Bryan, Ohio [73] Assignee: The Ohio Art Company, Bryan, Ohio	signe e xaminer—I issue date classification STRACT
[21] Appl. No.: 19 filing	the tracing device shown in No. 3,055,113 issued Sept. 25,
[52] U.S. Cl	glass tracing surface, a non-hardening adhesive be- tween the glass surface and the inner liner or side wall a groove for said adhesive to om inside the device, inte- s on said inner liner for
[56] References Cited UNITED STATES PATENTS	references s for operating the trac-
3,055,113 9/1962 Grandjean 33/18 R 2,543,561 2/1951 Tracy 33/18 K 3,170,383 2/1965 Hunt 220/82 R 2,828,625 4/1958 Morphis et al 220/82 R 3,307,400 3/1967 Leroy 73/331 2,279,145 4/1942 Ryan 161/192	said inner through which the knobs extend for operating the pulleys to further seal the escape of powder from inside the device. 1 Claim, 7 Drawing Figures

WHAT CAN WE SEE?

- Mr. Clark is a single inventor. If he coinvents, we can perhaps establish a working relationship between Mr. Clark and another inventor.
- There exists some connection between Mr. Clark and the Ohio Art Company. One possibility is that Mr. Clark works for the Ohio Art Company. Other possibilities exist.
- The Classifications (US and IPC) can help us find other patents in the same or

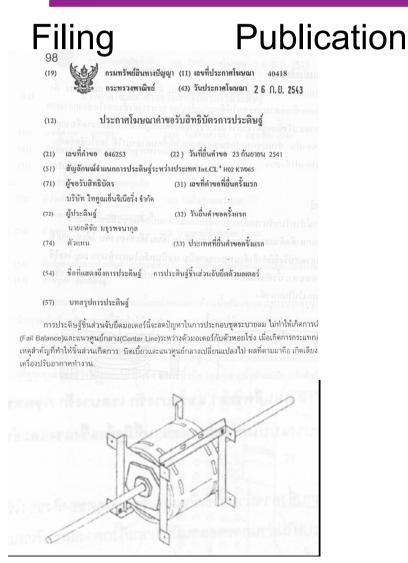
PATENT INTELLIGENCE

- An exercise similar to what we have done but executed on a much larger scale is associated with the so-called "patent intelligence."
- It is not difficult to assemble the R&D team of a company by a few patent searches
- A patent portfolio of a company reflects

3. LOOKING INTO THE FUTURE

1-2 yrs

2-3 yrs



- In countries with pre-grant publication, e.g. Thailand,
- Reading patent publications is like looking into a crystal ball into the future (3-5 years)
- Example: Thailand's Eastern Seaboard construction coincided with a wave in patent filing in petrochemical technologies
 MGHTS RESERVED. LICENSED SUPPO, FOR THE 2008 SUMMER SCHOL

Issue

A CASE STUDY ON AGRI BACTERIA

- 3 Thai universities
 - + 1 government agency
 - + 1 state funding agency
 - + 1 private company
- Worked for ~ 4 years until reaching the pre-commercializing stage
- got a notice from a law firm representing a Korean company which filed a patent application on this particular technology a few years back and had received a Thai utility patent on the technology

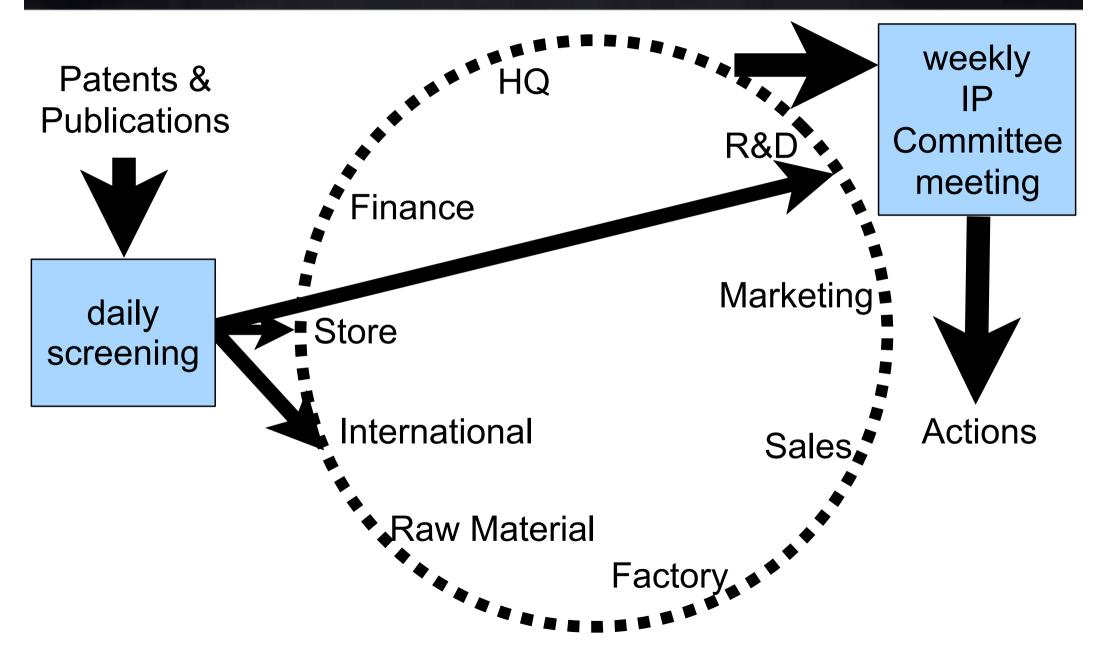
HOW TO AVOID THIS PROBLEM

- Read patent documents
 - granted patents
 - patent publications (especially in countries with pre-grant publication)
- Is that a lot of reading?
 - Yes, if you try to read everything.
 - No, if you read only in the technical area related to your interest.
- Has that actually been done?
 - Yes, in many companies around the world
 - Take companies in Japan for example, ...

SYSTEMATIC ANALYSIS IN JP COM

Monitored documents are circulated in
the company for comments and actions
to be taken (Check Box)
gnore
File an opposition
Inform R&D Department for possible
improvements
Others

PAPER TRAIL



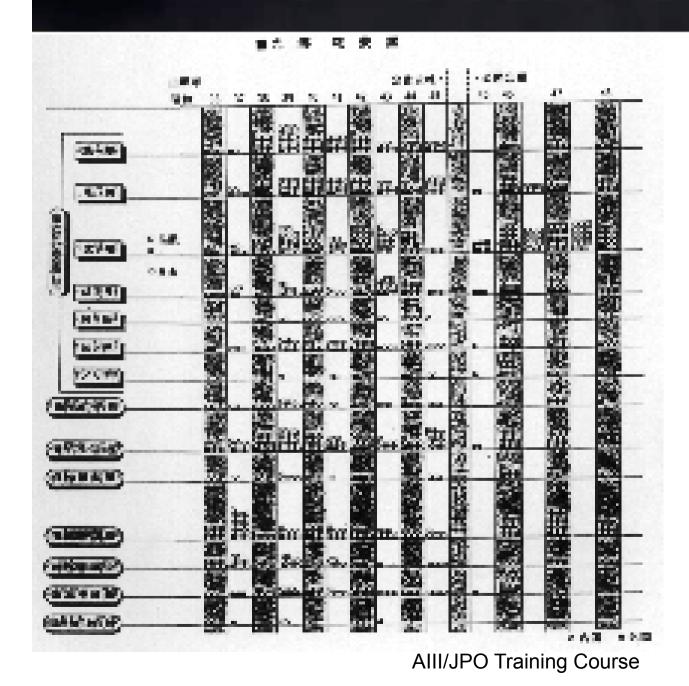
4. STRATEGIC PLANNING

- e.g. how to focus the limited R&D budget
- need to analyze data from many patent documents
- tow modes of operation
 - entry into business

CONCEPT OF PATENT ANALYSIS

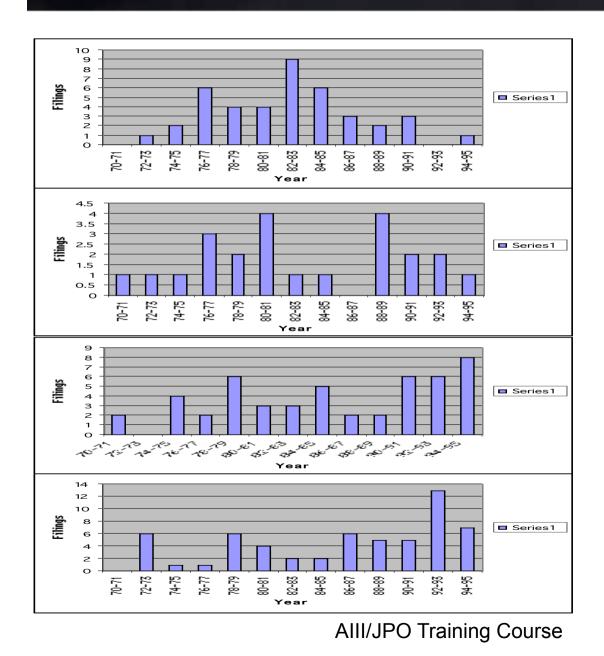
- Find wave and trough of patent application (or publication or grant) for a particular technology.
- Guess why the crests and troughs are there
 - New, old technology
 - Problems and problems without solutions (yet)
 - Will it help us if we know the answer?

TEMPORAL CLUSTER ANALYSIS



- Each row = technology
- Each columnyear
- White dots
 and black
 dots =
 presence of
 domestic or
 foreign
 patent in a

STORAGE BATTERIES



Storage

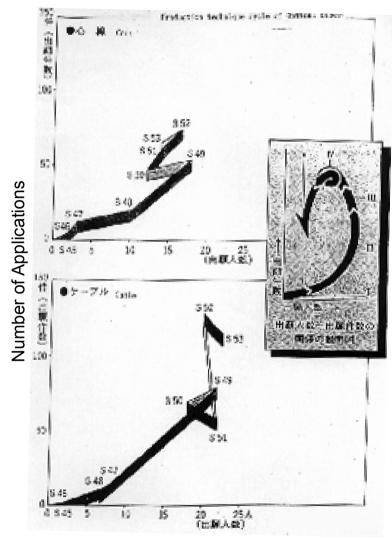
Housing & Sealing

Charging

Regulation

TECHNOLOGY MATURITY ANALYSIS

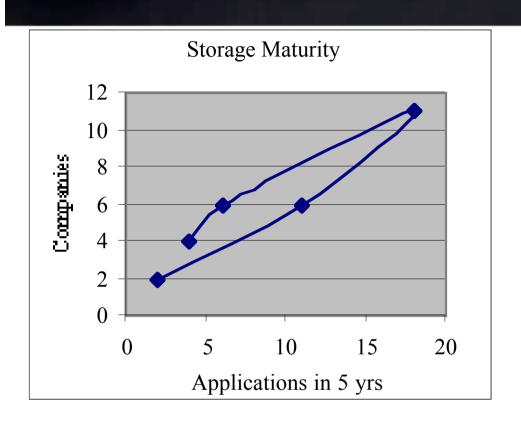
AIII/JPO Training Course

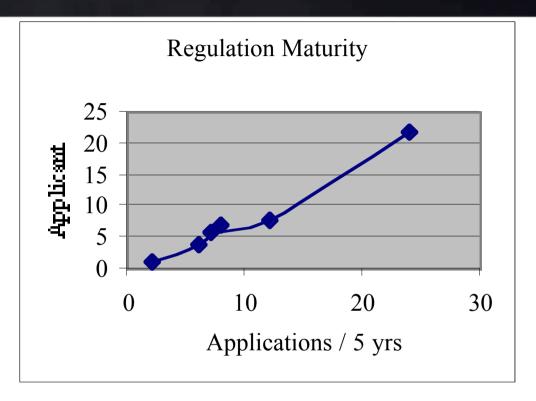


Number of Applicants

- Fixed time period
- Graph follows a spiral pattern
- Interpretation
 where maturity is
 reached and decline

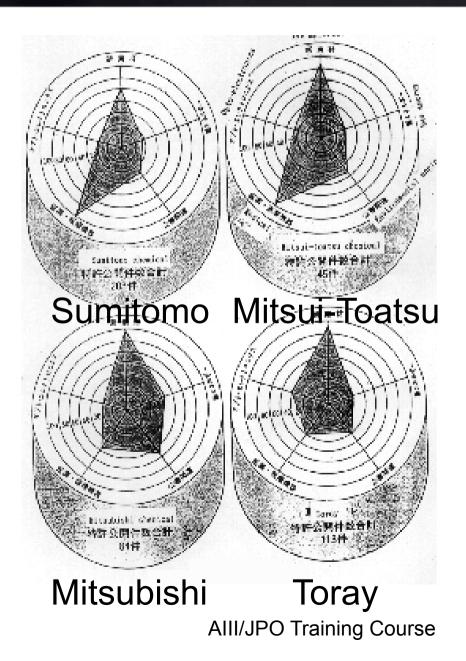
STORAGE BATTERY TECHNOLOGY





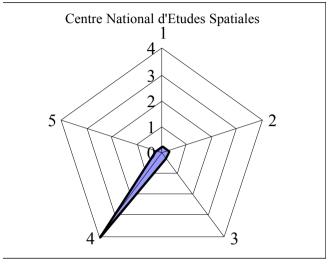
- Sensitive to time period and data size
- Other examples also exist.

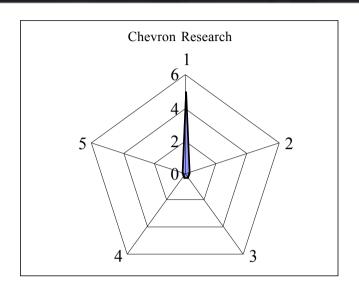
BUSINESS DIRECTIONS

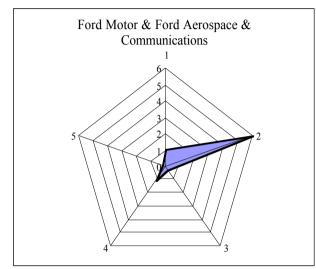


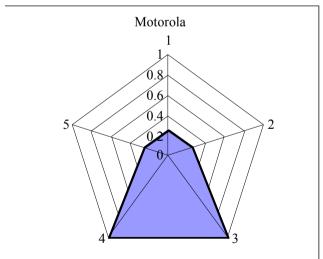
- Same graph axes for different competitors
- These graphs were produced by Teijin of its competitors
- Distance of radials = number of patent applications or grants
 - raw materials
 - new energy
 - environmental engineering
 - medical

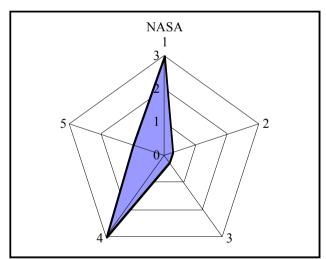
STORAGE BATTERIES

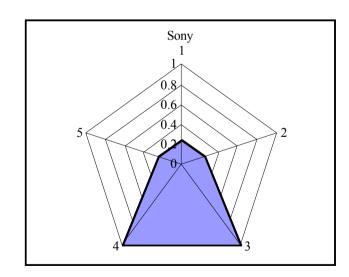






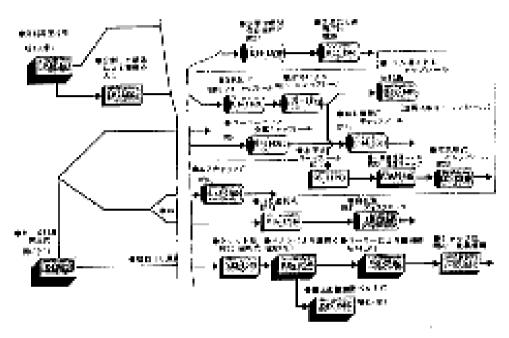






1=storage, 2=housing, 3=charging, 4=regulation, 5=others

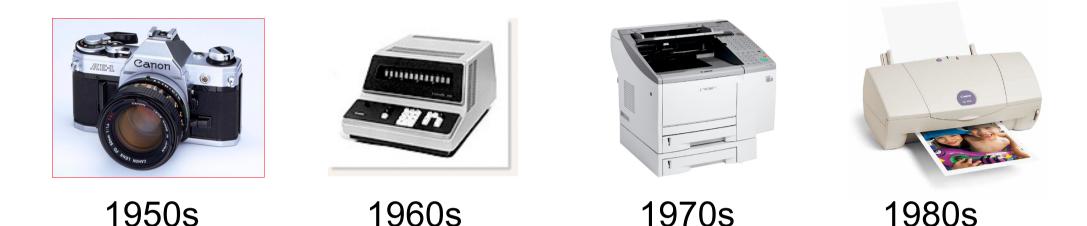
PATENT MAPPING



AIII/JPO Training Course

- Technological advancement
 - incremental invention
 - jumps in technology

THE CANON STORY



- Patent analysis is routinely practiced by Japanese firms
- Cannon Cameras entry into
 - photocopying machine business in the 1970s

THE THAI EXPERIMENT

- 1998 National Research Council Exercise on Analysis of Patent Documents
- Space Telecommunications
 Storage batteries for use in space environment
- Over 2,700 patent documents were read and selected for analysis
- Results fed into a roundtable discussion to pinpoint the niche and strategies for Thai companies engaged in space

MANUAL V. COMPUTER MAPPING

Manual mapping

- Each patent is read by at least 1 expert
- Collected data includes ranking score for importance or relevancy
- Computer tool -> table, flow chart, etc.

Computer-generated mapping

- Computer tool used to
 - Group similar document together
 - Build landscape based on semantic concepts
 - Discover trends and do statistical analysis
- There is no substitute for actual reading of patent documents.

PATENT SOFTWARE LIST

Check out various kinds of patent softwares at

http://www.european-patent-office.org/online/#soft

COMPUTER-ASSISTED MAPPING

- Data Mining
 - Data extracted from "field" in patent documents
 - Many analytical capabilities
 - Temporal analysis
 - Clustering
- Text Mining
 - Text extracted based on Al algorithm

STRUCTURED DATA EXAMPLE

- Patent Number
- Title
- Inventors
- Application Filing Date
- Publication Date
- Grant Date
- etc.

INTEGRATED TEXT-DATA MINING SW

Examples

- Micropat's Aureka
- Micropat's ThemeScape
- ClearForest
- Synthema's TWID
- Vantage Point
- Invention-Machine
- Bizint's SmartChart
- Chemical Abstract's SCIFINDER

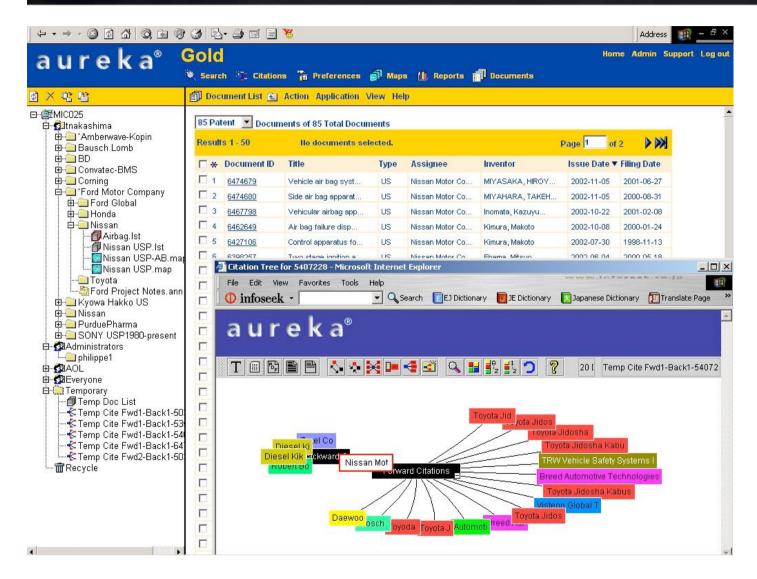
- OmniViz
- Thomson's Current-Patent
- WisDomain
- Delphion
- Patent Citations
- Patent Value Predictor
- TEMIS
- VxInsight

MICROPAT'S AUREKA

http://micropat.com/aureka/

- web-based comprehensive, integrated solution that is used to manage innovation assets.
- Aureka streamlines the creation and extraction of value from innovation assets at all levels and functions across the enterprise: research and development, licensing, corporate intelligence, patent portfolio management, and strategic planning.

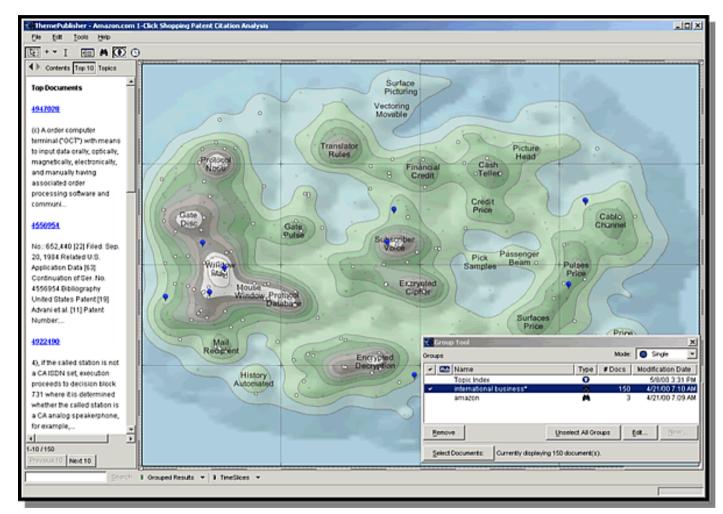
AUREKA PATENT SEARCH



- Easy managem ent of patent documents
- Forward and backward references

http://www.micropat.com/0/aureka_online.html

MICROPAT'S THEMESCAPE

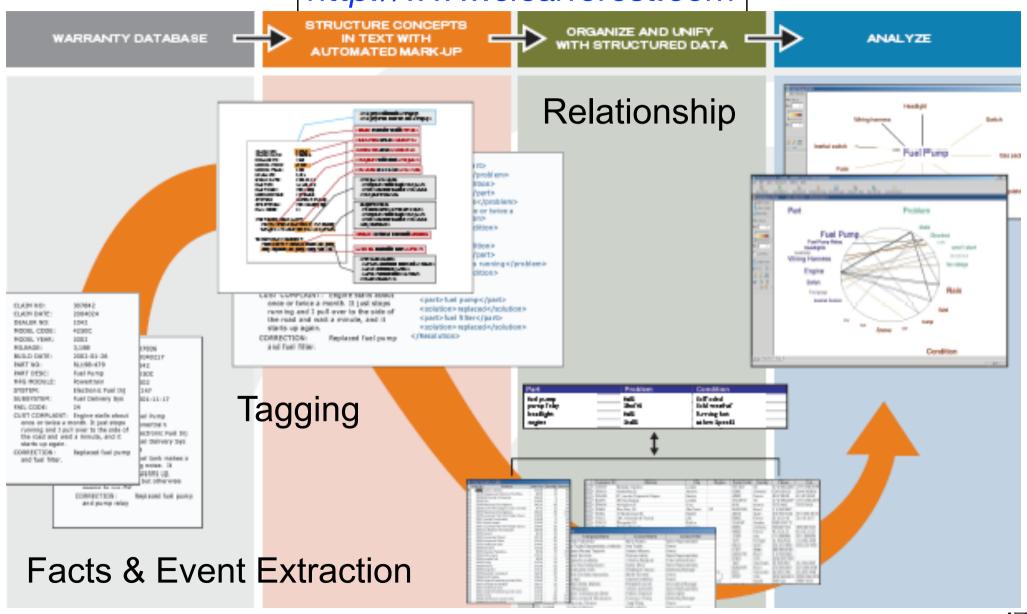


http://www.micropat.com/0/aureka_online.html

- Topographical map from text analysis
- Select keywords or phrases to collect number of hits
- Searching tool

CLEARFOREST

http://www.clearforest.com

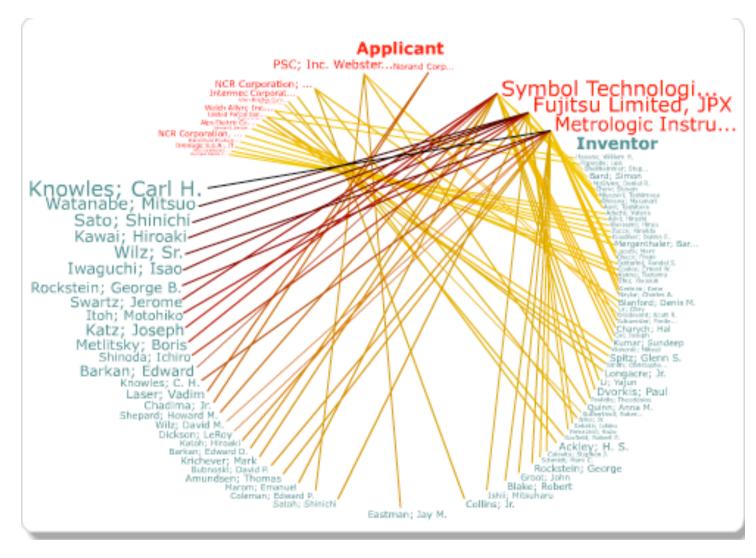


CLEARFOREST TAGS

<Category>Complaint</Category> <Category>Fuel Delivery Sys</Category> Source>Warranty Claim <Claim Num>307842</Claim Num> <ModelCode>4250C</ModelCode> CLAIM NO: 307842 CLAIM DATE: 2004024 <Complaint>won't start</Complaint> 1042 DEALER NO: MODEL CODE: 4250C <Condition>cold weather</Condition> MODEL YEAR: 2002 3,188 MILEAGE: <ComplaintCondition> 2002-01-26 BUILD DATE: <Complaint>won't start</Complaint> RL198-479 PART NO: <Condition>cold weather</Condition> Fuel Fump PART DESC: </ComplaintCondition> Powertrain MFG MODULE: Electronic Fuel Ini. SYSTEM: Fuel Delivery Sys SUBSYSTEM: <RepeatProblem> FAIL CODE: 24 <TimesInDealer>2</TimesInDealer> <Complaint>won't start</Complaint> CUSTOMER COMPLAINT: <Condition>cold weather</Condition> Car won't start in cold weather. Cust. already/ </RepeatProblem> brought car to dealer twice for same problem. <Problem>electrical corrosion</Problem> TECHNICIAN COMMENT: Found electrical corrosion around fuel pump relay. Replaced fuel pump. Pump tested OK. <AutoPart>fuel pump relay</AutoPart> <CorrectiveMeasure> <Problem>electrical corrosion</Problem> <Action>replaced</Action> <AutoPart>fuel pump</AutoPart> </CorrectiveMeasure>

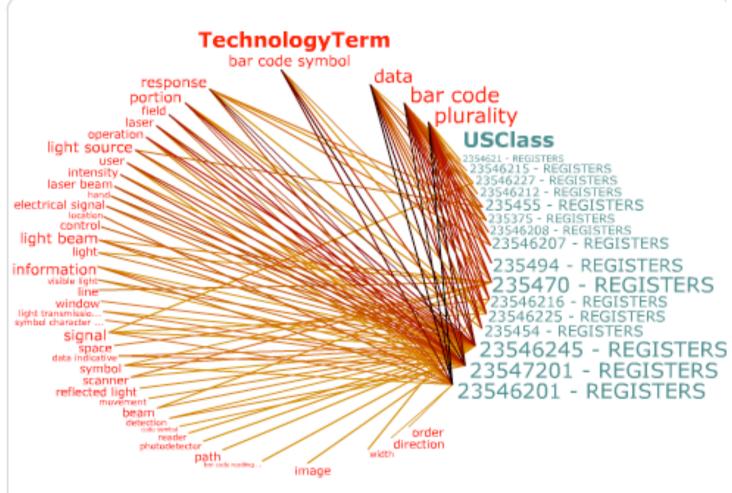
Extraction of unstructured data into structured data

EXAMPLE: MAJOR PLAYERS



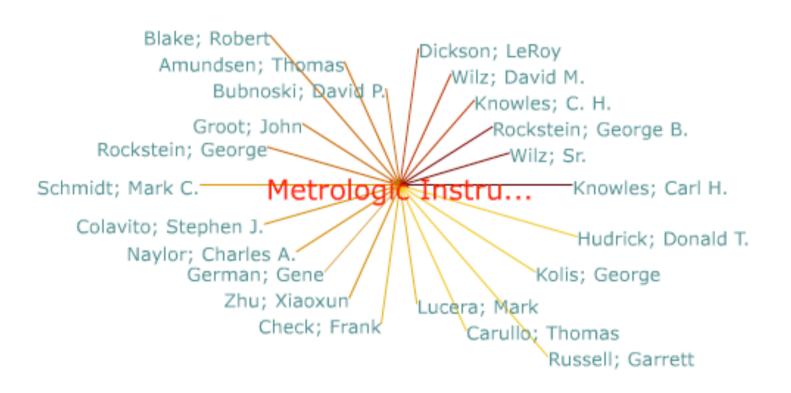
- "Bar Code" AND US Class 235 (registers) 250 (radiant energy)
- Applicant and claim terms

EXAMPLE: CLASSIFICATION



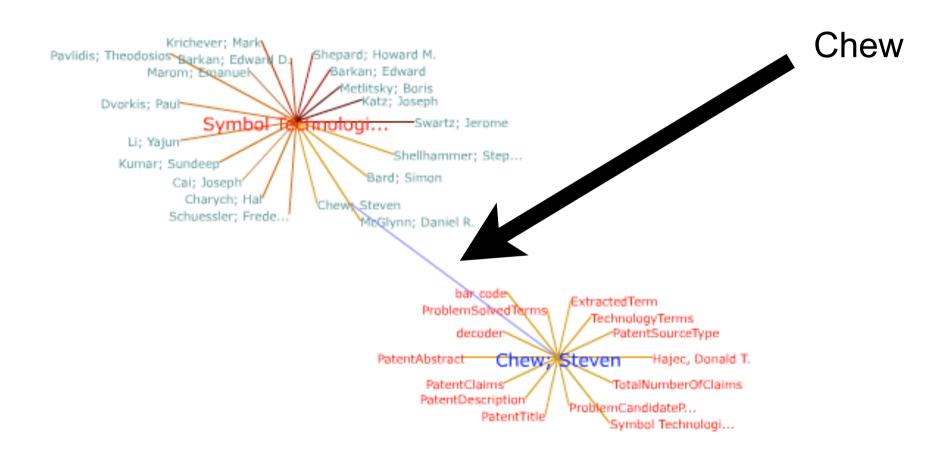
- Claim terms link to class 235/472.01 = coded record sensor, hand-held
- 235/462.45 =
 coded record
 sensor, bar code,
 rotating mirror,
 hand-held

R&D TEAM OF A COMPANY

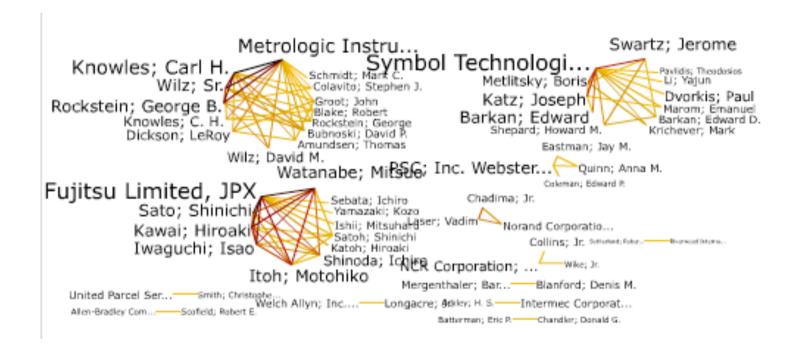


- Focus on only one company
- Show the most prolific group of inventors

PROFILE OF EACH INVENTOR

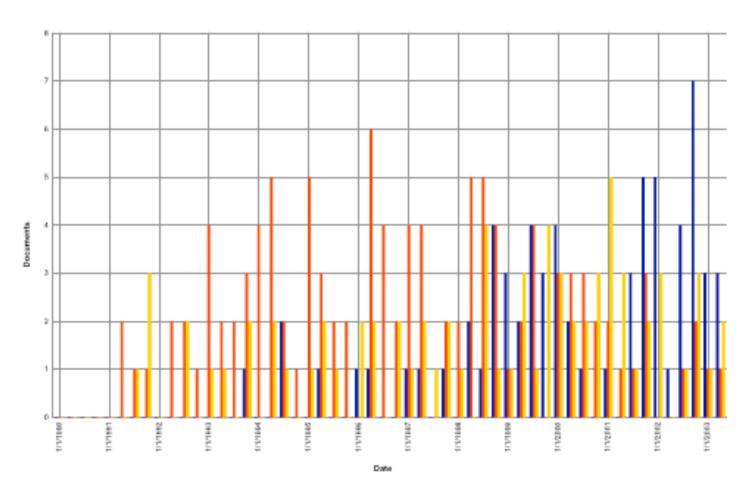


CO-INVENTOR MAP



co-inventor in each company

EXAMPLE: PATENTS BY YEAR



comparing 3 companies

SYNTHEMA

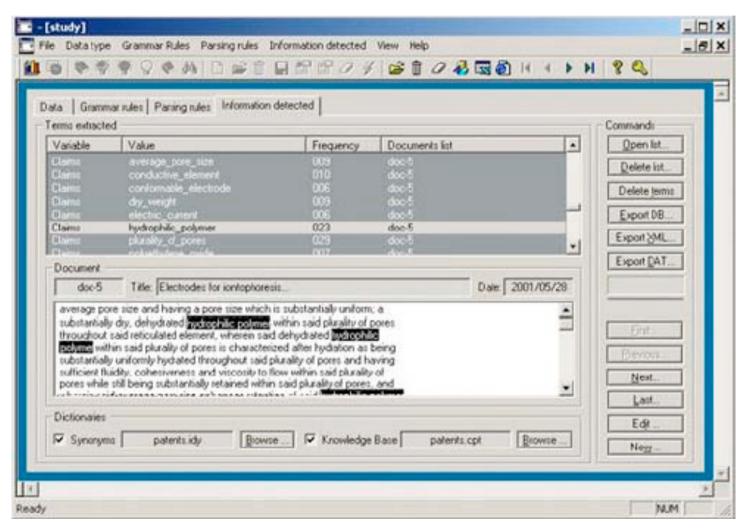
www.synthema.it

- Several pieces of software and services to do data and text mining for patent information.
- Technology watch from several types of clustering analysis

TWID@synthema

- Lexical Engine based on a Morphological and a Statistical approach: only particular words or Multi Word Expressions (MWE) are extracted from the texts basing on Statistics (frequency, relevance to the corpus) and Grammar Rules.
- Analyze all the phrases, classifying each word, detecting its attributes and reducing its inflected forms to the normalized form, i.e. infinitive form for verb, singular form for nouns.
- The normalized form is then used as a descriptor for the document.

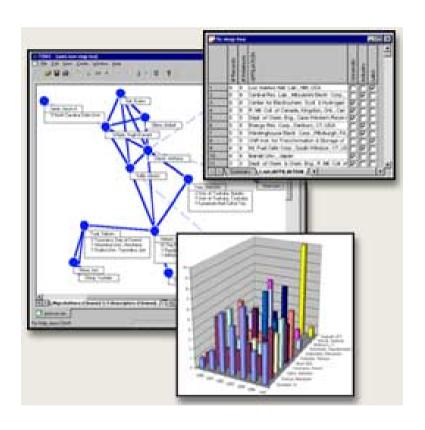




Grammar and other rules have to be

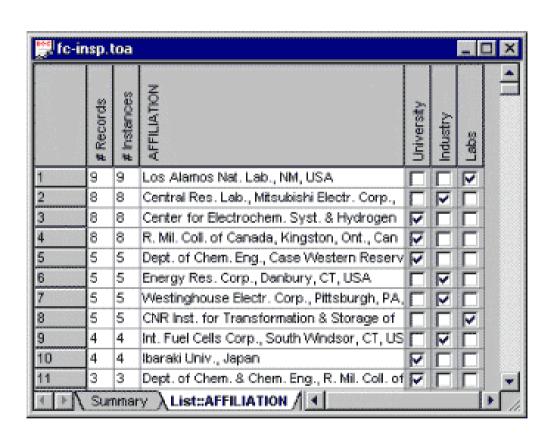
The Knowledge Extractor Screen

VANTAGE POINT



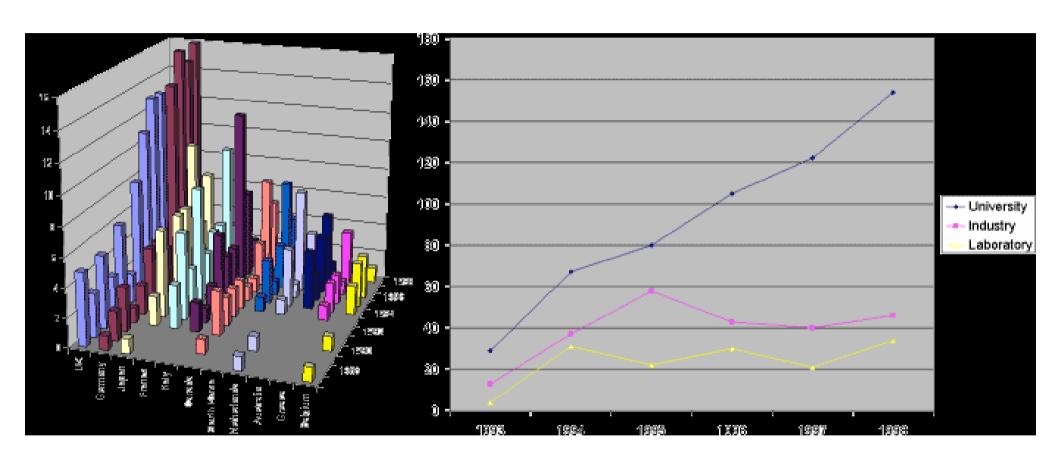
- Scanning (new tech)
- Profiling (prople & org)
- Mapping
 - Temporal analysis
 - Co-occurrency matrix
 - Etc.
- Trending
- Forecasting

TOPTENLIST@VANTAGEPOINT



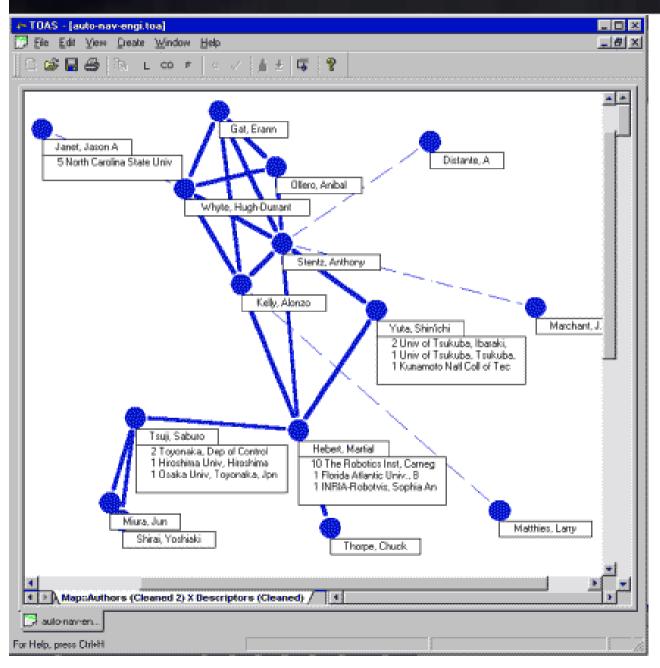
- By inventor
- By country
- By affiliation
- etc.

CO-OCCURENCY MATRIX @VANTAGEPOINT



Look at any 2 variables, e.g. Inventor & Yr

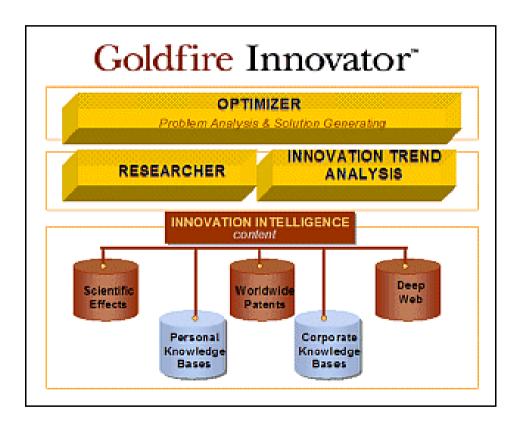
Mapping@vantagepoint.net



- Here each node represents an inventor
- Linkage by usergiven set of descriptors
- Many other types of map

INVENTION-MACHINE

http://www.invention-machine.com



http://www.invention-machine.com/prodserv/GFIN.cfm

- Optimizer
- Trend analysis
- Innovation intelligence

BIZINT'S SMARTCHART FOR PATENT

http://www.bizcharts.com/sc4pats/

- Reporting tool
- Consolidate data from several patent data bases CA, IFI, WPI
- Dialog or STN input

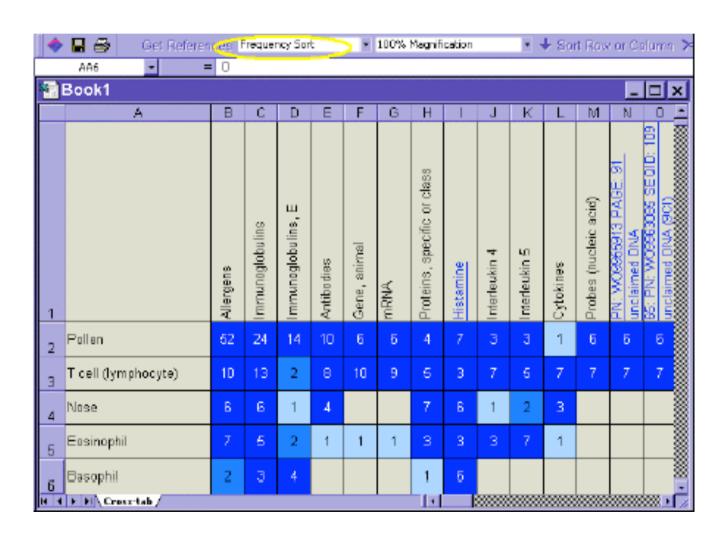
CHEMICAL ABSTRACT

http://www.cas.org/SCIFINDER/

	Title	Patent Assignee	Image
1	New thio-substd. bis-oxazole deriv. dyes - absorbing throughout the near infrared, useful in laser applications such as recording systems and cancer treatment.	STERLING DIAGNOSTIC IMAGING INC (STER)	#-7-X.
2	New fused benzo isoquinolinone derivs. useful for treating neoplasms - are e.g. 10-amino-2-(di methylamino) -7H-benzimidazo (2,1-a)benz (de)isoquinolin-7-one	LILLY & CO ELI (ELIL)	R2 R2 R3
3	New bis cyclo-alkylidene ethane dyestuff cpds useful as photopolymerisation initiator, in electrophotography or in photo dynamic therapy of tumour.	BASF AG (BADI)	

2D grid compari ng chemic structur es to CAS indexin

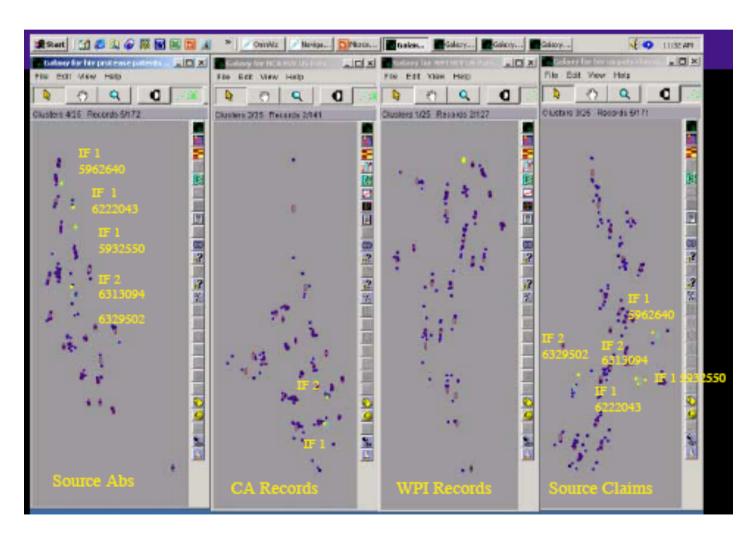
SCIFINER



Anothertabular

OMNIVIZ

www.omniviz.com/applications/omni_viz.htm



Text analysis

Cheminformatics

Gene Expression

answer fundamental questions about data, such as how is every record related to every other record, or how are the attributes distributed throughout the data set.

analyze and visualize multiple data types provides for valuable analytical integration.

Numerous query tools including dynamic query devices, plotting tools including interactive 3dimensional graphs, sophisticated statistical packages, and many other unique functions

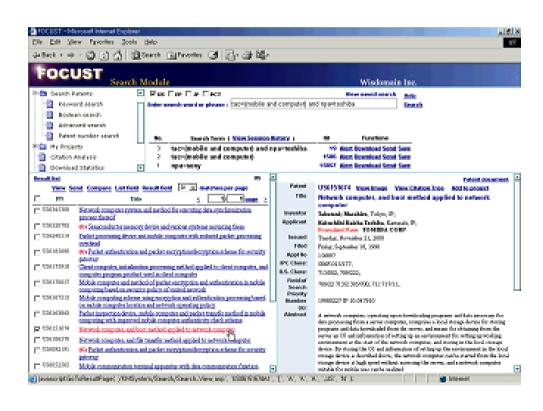
THOMSON'S CURRENT-PATENTS

http://www.current-patents.com

- DOLPHIN = Database of All Pharmaceutical Inventions
- therapeutic patents
- patents in areas such as packaging, drug delivery devices, biotechnology inventions with no direct therapeutic application
- consolidates the family and legal status information from INPADOC for twenty-eight countries with information dating back as far as the sixties.
- A unique indexing policy allows highly specific searching and analyzing of patents with relevance to particular drugs or companies

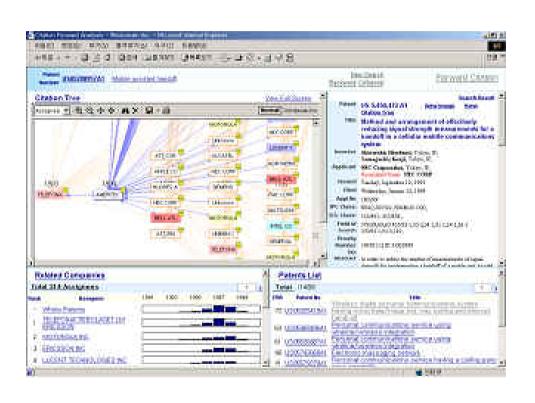
FOCUST

http://www.wisdomain.com



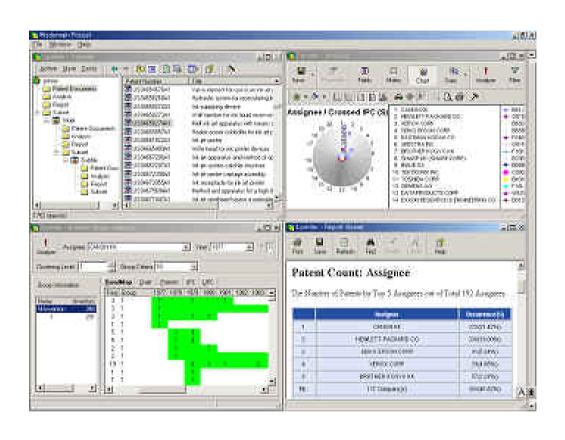
- Search module
 http://www.wisdomain.com/
 SearchModule.htm
- Citation module
- Analysis module

FOCUST CITATION MODULE



- Forward
- Backward
- Collateral

FOCUST ANALYSIS



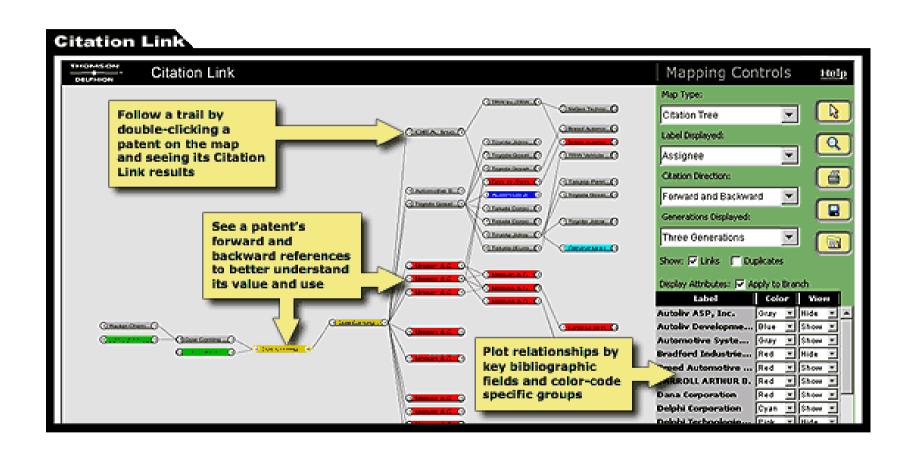
- Text mining
- Charts & Tables
- FlexibleDocument

THOMSON DELPHION

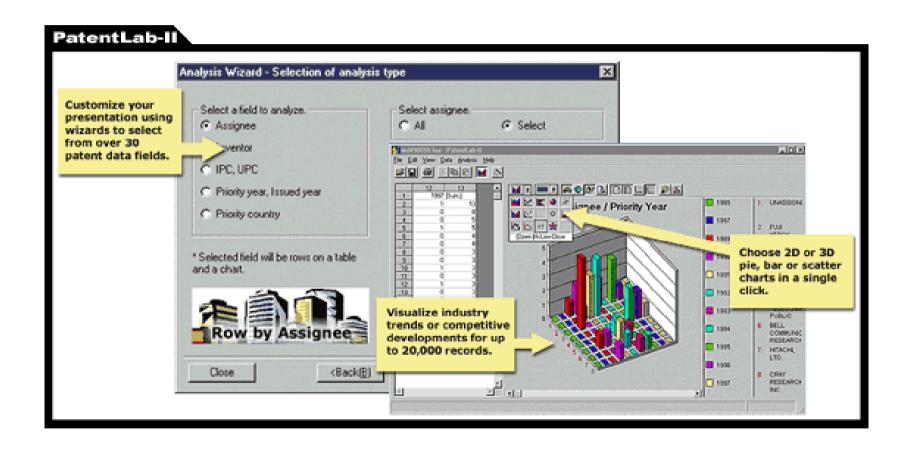
http://www.delphion.com

- Citation link forward & backward map
- Snapshot simple bar chart analysis
- Patentlab II 3D graph display
- Clustering keyword-based linguistic analysis
- Corporate tree Assignee names
- PDF Express facilitates downloading

DELPHION'S CITATION LINK

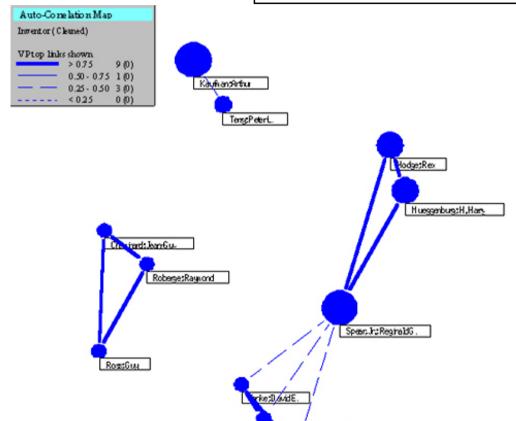


DELPHION 3D PLOTS



METRICS' PATENT CITATIONS

http://www.patentcitations.com



Werts John

Fankinc.lendidE.

- Free patent citation analysis
- Link to 3D analysis by VxInsight
- Patent Team Map
 Dot size = No. of pat app
 Solid line thickness = coinventorship
- Patent Trend Analysis
- Reference Watch

TEMIS

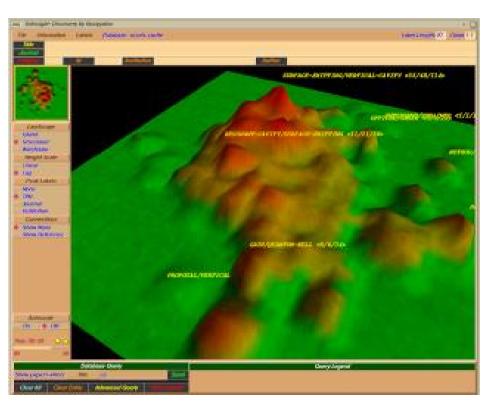
http://www.temis-group.com/solutions.html



- European-based on-line data and text miner
- Well-developed tools for text analysis
- In 2003 acquired a Xerox
 Linguistic Product Operations

VXINSIGHT

http://www.cs.sandia.gov/projects/VxInsight.html



- Created by Sandia National Labs & ISI
- tool for discovering relationships within large databases.
- While most data retrieval tools and most data mining tools are able to find information in a database, they only tell you about the data elements.
- VxInsight(TM) reveals the implicit structure of the data. VxInsight(TM) can help analysts uncover strategically important connections and patterns making it an important knowledge

MATHEO PATENT



Request on Espacenet



Assignees analysis



Charts



Patent Description



IPC Codes Analysis



Matrices



Figures



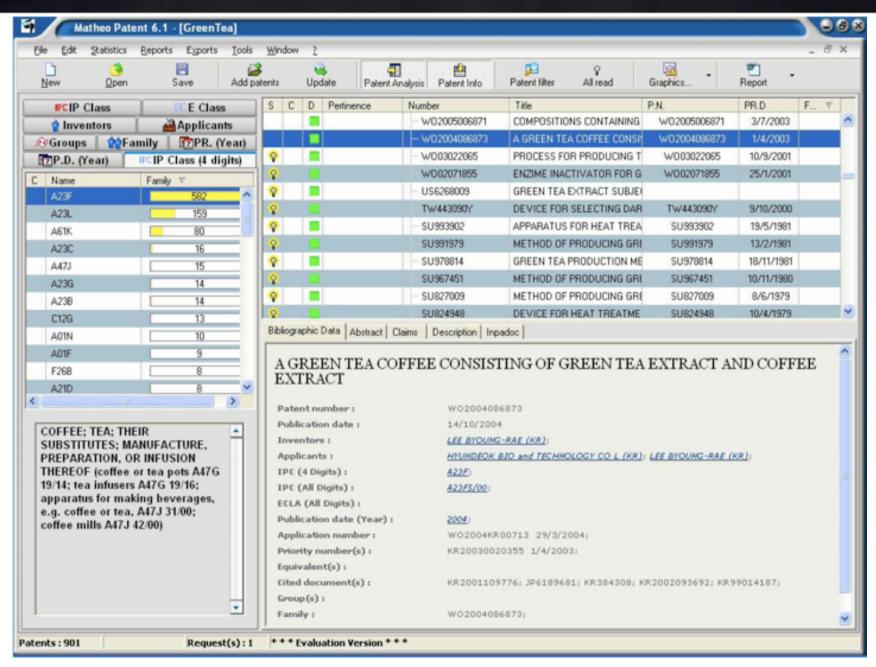
Help on IPC



Networks

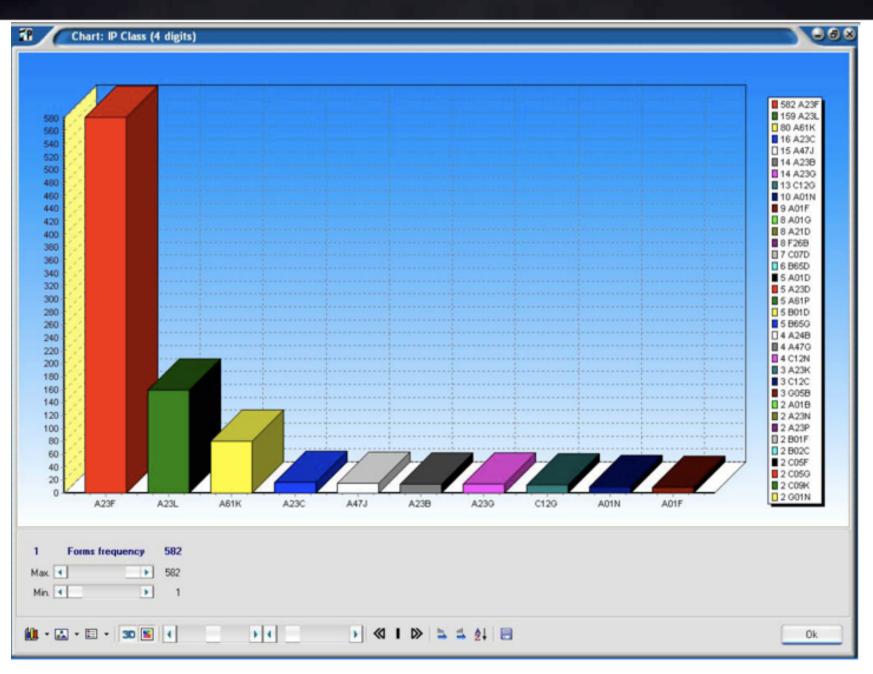
- Matheo Patent (Jean-Marie Dou, 2005) is for people on the budget
 - EspaceNet Patent Database
 - Competitive Intelligence
 - Patent Information Mapping
 - Patent Portfolio Analysis
 - Creation of Technological Indicators
 - Technology Management

GREEN TEA - FIELD PARSING

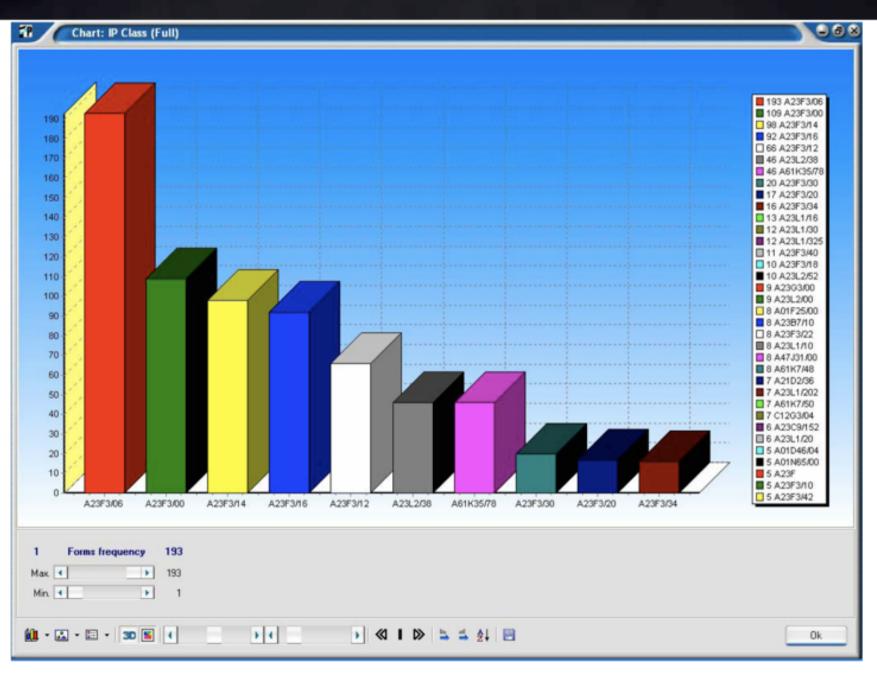


Boonyong, P. and P. Tammarate. בטטט. סופפון וכשו מיניייי יייייי איייין אואלינין אינין אואלינין אואלינין איינין אואלינין אואלינין אינין אואלינין אינין אואלינין אואלינין אואלינין אינין אואלינין אואלינין אינין אואלינין אואלינין אואלינין אואלינין אינין אואלינין אינין איניין איניין איניין אינין איניין אואלינין איניין אייין איניין אינייין איניין איייין א Green Tea Patent Mapping.

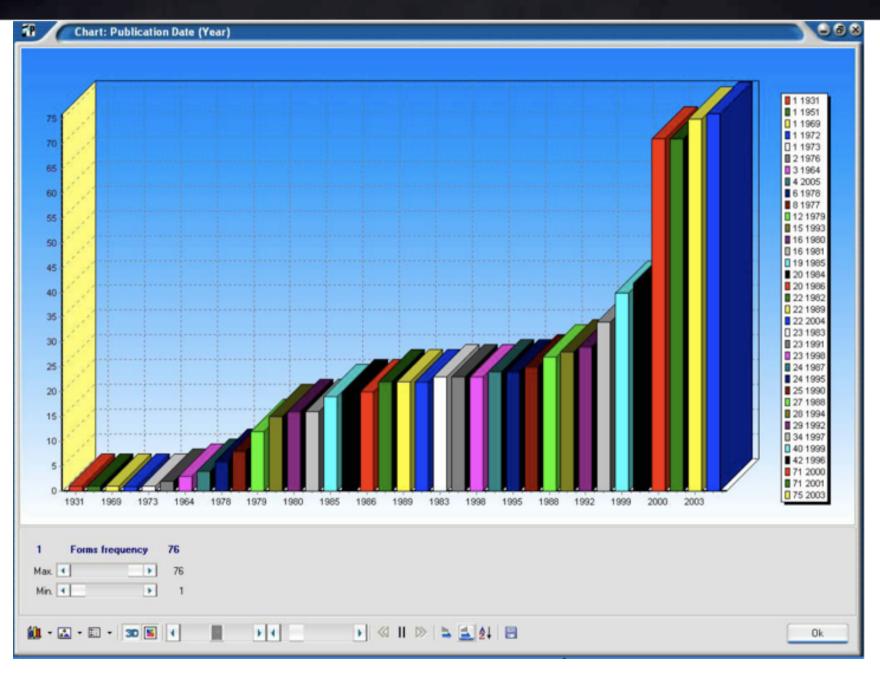
GREEN TEA IPC ANALYSIS



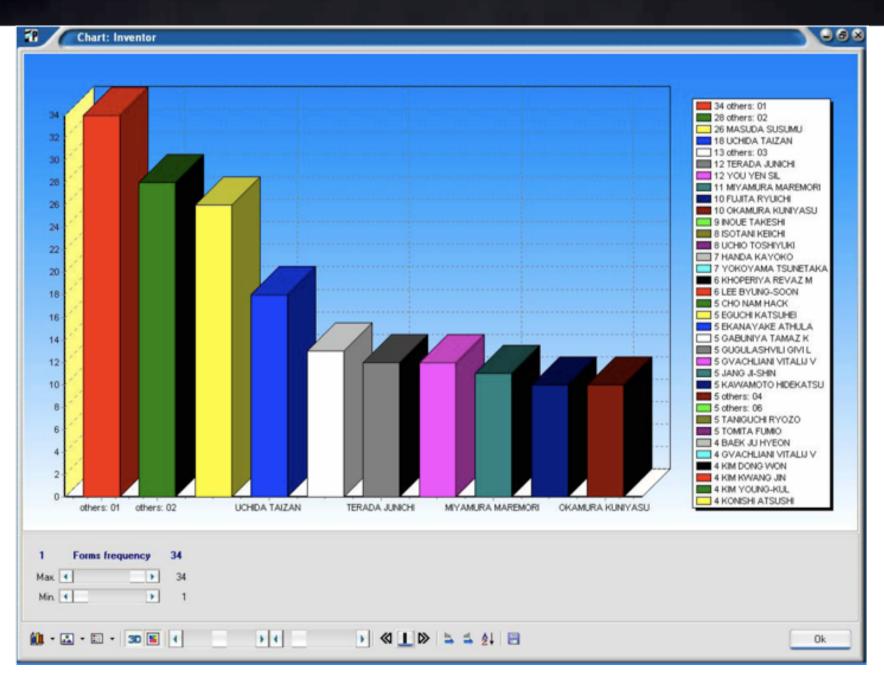
GREEN TEA IPC FULL ANALYSIS



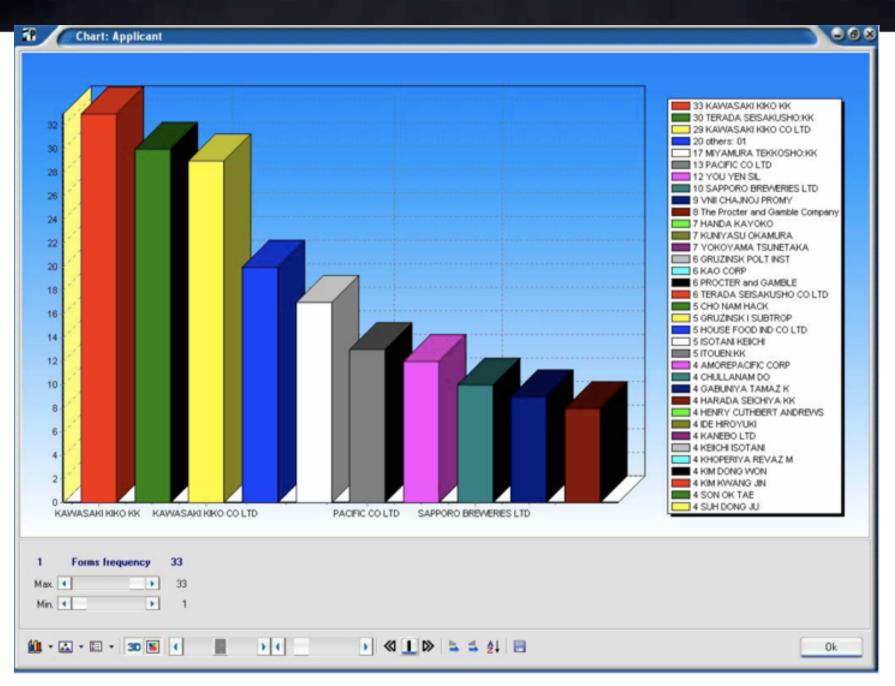
GREEN TEA TECH GROWTH TREND



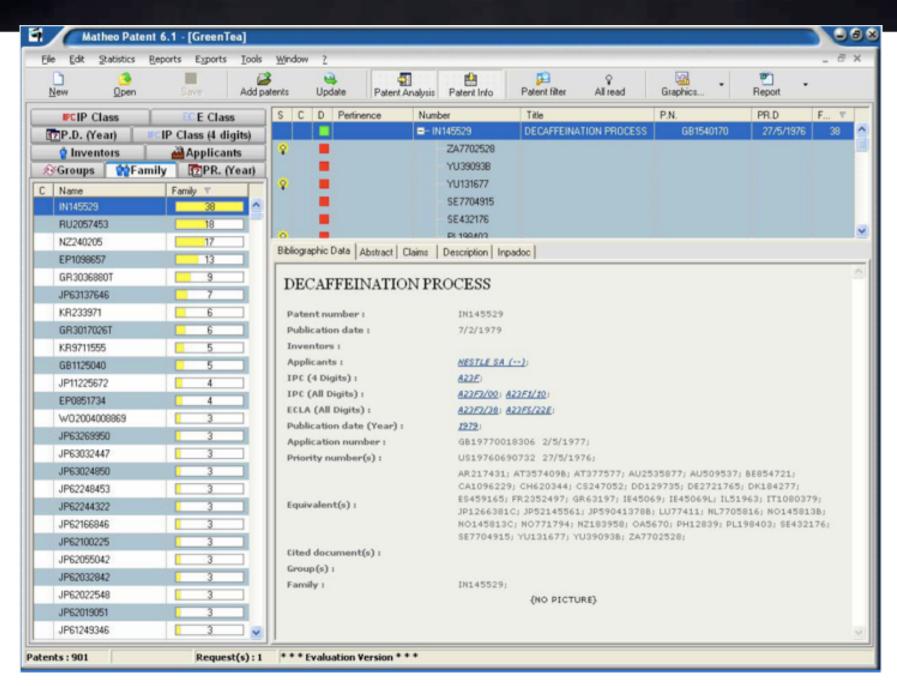
GREEN TEA INVENTOR ANALYSIS



POTENTIAL APPLICANT ANALYSIS



PATENT FAMILY ANALYSIS



Boonyong, P. and P. Tammarate. 2005. Green Tea Patent Mapping. http://www.toryod.com/pdf/Green%20Tea%20Patent%20Mapping.pdf Green Tea Patent Mapping. 2005.

IPC VS YEAR MAP

